Compliance as a Service (CaaS) & Security Testing

Agenda

- ControlCase Vision, Mission and Values

- What is CaaS

- CaaS Team Structure

- Segregation of Duties

- Question & Answers

ControlCase
Compliance as a Service

ControlCase™ Vision, Mission and Values

Our Vision

- To make IT compliance easy

Our Mission

- To automate IT certification and audit
- To deliver peace of mind through visibility

Our Values

- Caring
- Team approach
- Problem solving
- Ethical behavior
- Value-oriented
- Empowering employees to be successful

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Compliance as a Service

What is Compliance as a Service (CaaS)?

- Establishes responsibility of monitoring and alerting on IT compliance throughout the year to ControlCase by means of,
  - Timely escalation letters
  - ConnectWise email based testing/interaction/alerting
  - QSA/CSM/Security Tester/Asset Manager interaction
- CaaS is ControlCase' s Business as Usual (BAU) product for IT Compliance and IT Certifications
- Automates questions from the evidence collection questionnaire
- Annual certification to one or multiple IT regulations or standards for public consumption

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Compliance as a Service

Value of Compliance as a Service (CaaS)?

- Alert on relevant items ONLY (i.e. our value is in providing compliance information not just raw scan results)

- How do we alert on relevant items within SLA's

  - We know your compliance scope

  - We know what will result in non certification

  - We map the risks of vulnerabilities, sensitive data and log alerts to compliance

  - We map all logs to the relevance compliance requirements such as daily reports

- ControlCase will take ownership of this and deliver within established SLA's

- Communication through ConnectWise email and CSM's

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Possible to provide as byproduct of CaaS

- Types of deliverables we can provide as they are typically early stage deliverables or by products of the compliance (however no established SLA's for these products)
  - Raw security test results
  - Alerts to IPS/IDS for security use case
  - Daily security log analysis reports
  - Raw logs
- Customers would need to request this through ConnectWise or CSM's (i.e. not a default deliverable)

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Cannot deliver as not a byproduct of CaaS

☐ Types of deliverable we CANNOT provide as it is not a by product of the compliance use case

- ◘ Incident management support
- ◘ Forensic analysis

Compliance as a Service

Who does what

Customer Environment

Customer Success Manager

Security Assessor

Testing Team

Certification Assessor

Compliance Portal KBOX

ConnectWise support portal

Independent QSA/QA Team

Log Management Team

Support Team

Asset Management Team

Scheduler

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Your 1st level of interaction

Your 2nd level of interaction

Questions and Answers